



2013 CFA Application Manual





CFA Application Manual

June 2013

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OVERVIEW

A centerpiece of Governor Andrew Cuomo's strategy to jumpstart the economy and create jobs, ten Regional Economic Development Councils (REDCs) were put in place in 2011 to redesign the state's approach to economic development, replacing a top-down approach to economic development that did not recognize the unique resources and strengths of each of New York's regions.

In 2011 the Regional Economic Development Councils (Regional Councils) developed strategic plans with advice from a broad spectrum of stakeholders taking into account the unique strengths and weaknesses of each region. In 2012 they updated their strategic plans and strategies to build on the strengths and address the weaknesses identified by the 2011 Strategic Plan Review Committee. The strategic plans serve as a 5-year road map guiding each region's efforts to stimulate economic growth.

In 2013, the each Regional Council will continue to implement its strategic plan and continue to identify and invest in significant economic development projects. The Governor has directed approximately \$750 million in state resources to be made available through the Consolidated Funding Application (CFA) in 2013 to support economic development priorities of the strategic plans and job creation across the state. Of this, up to \$526 million from state agency programs will be available to applicants through the Consolidated Funding Application to support economic development projects that are in alignment with the Regional Council's strategic plans.

The CFA process replaced multiple applications for economic development projects with a single application for state economic development resources from numerous state agencies, and institutionalized the role of the Regional Councils in identifying priorities for state resources.

The CFA has been designed to give economic development project applicants expedited and streamlined access to a combined pool of grant funds and tax credits from dozens of existing programs. The CFA is a modern and easy-to-use online application that allows businesses and other entities to apply for multiple agency funding sources through a single, web-based application. It is the primary portal for businesses to access state agency resources, including resources for community development, direct assistance to business, waterfront revitalization, energy and environmental improvements, sustainability planning and implementation, education and workforce development, and low-cost financing.

State agencies and authorities making resources available in the 2013 CFA include: Empire State Development; NYS Canal Corporation; NYS Energy Research and Development Authority; NY Power Authority; Environmental Facilities Corporation; Homes and Community Renewal; Higher Education Services Corporation; Department of Labor; Office of Parks, Recreation and Historic Preservation; Department of State; New York Power Authority; Department of Environment Conservation; Office of National and Community Service; and the NYS Council on the Arts.

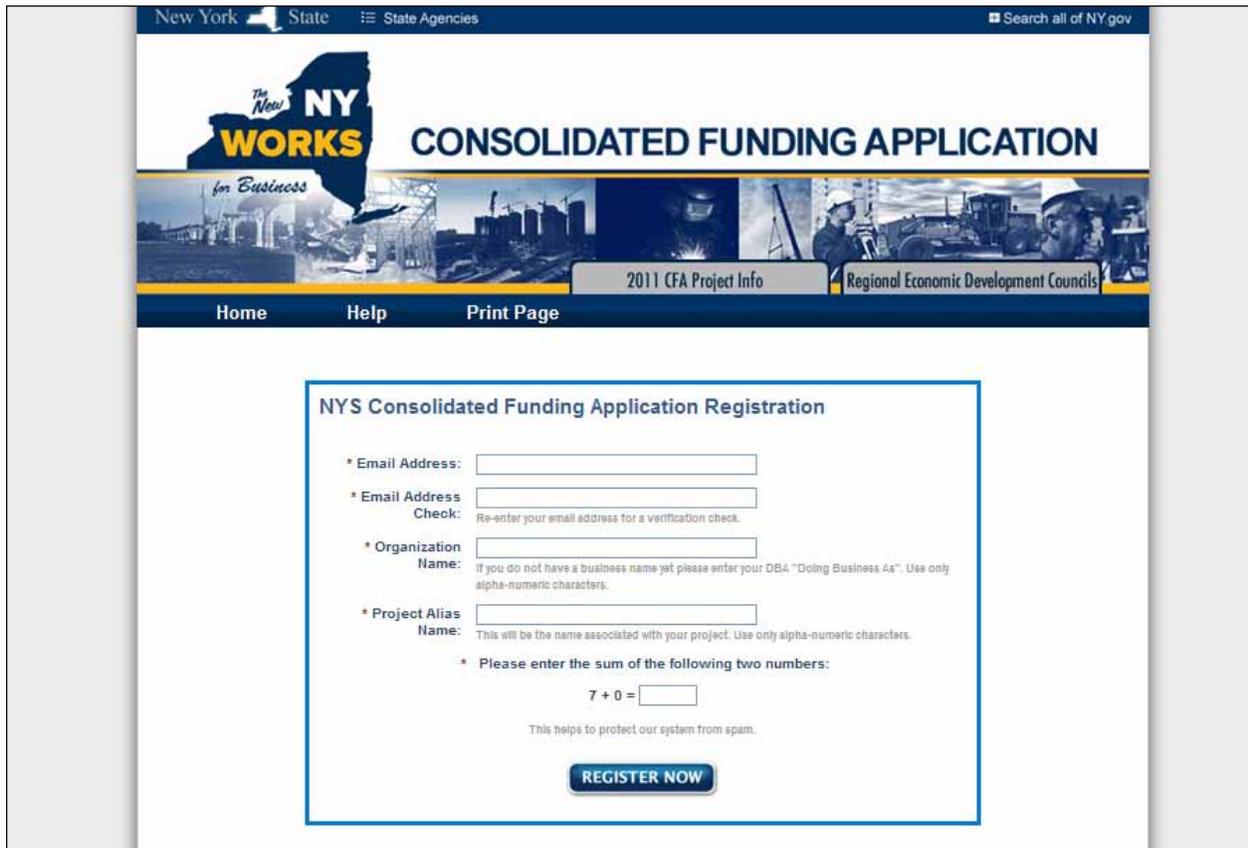
This manual outlines the process for applying through the CFA and a step-by-step guide to utilize the online application. Additional CFA related documents can be found on the CFA home page at <https://apps.cio.ny.gov/apps/cfa/>.

USING THE APPLICATION

Registering

The Consolidated Funding Application is a web-based application accessible at <http://nyworks.ny.gov>.

To apply for a grant, you must first register and identify the project for which funds are sought. The CFA allows applicants to be considered for multiple sources of funding for a project by filling out just one application.



The screenshot shows the registration page for the NYS Consolidated Funding Application. The page header includes "New York State" and "State Agencies" on the left, and a search bar on the right. The main banner features the "The New NY WORKS for Business" logo and the title "CONSOLIDATED FUNDING APPLICATION". Below the banner is a navigation bar with "Home", "Help", and "Print Page" links. The registration form is titled "NYS Consolidated Funding Application Registration" and contains the following fields and instructions:

- * Email Address:
- * Email Address Check: Re-enter your email address for a verification check.
- * Organization Name: If you do not have a business name yet please enter your DBA "Doing Business As". Use only alpha-numeric characters.
- * Project Alias Name: This will be the name associated with your project. Use only alpha-numeric characters.
- * Please enter the sum of the following two numbers:
 $7 + 0 =$
This helps to protect our system from spam.

A "REGISTER NOW" button is located at the bottom of the form.

On the registration page, enter an e-mail address, organization name, and project name.

Prior to selecting "REGISTER NOW," you must answer the security question at the bottom of the page. This is a security function that protects the grant system from spam.

The next screen verifies registration for the project and provides a project-specific token, which is similar to a password. **Print out this page and save it for your records.** You will need the token to revise or update your application.

[Home](#) [Help](#) [Print Page](#)

NYS Consolidated Funding Application Registration

Thank you for registering.

Instructions

Important - Before proceeding read the following carefully:

1. **Save your information**

Please make note of the following information. You should print this page and save it for your records now.

Your organization name is: NYS DOS

Your project alias name is: Sample

Your project token is: **every612book**

Applicants seeking funding for more than one project must register each project separately and obtain a new token and application number. After you register, you will receive an e-mail from cfa@ny.gov with information on how to continue the application process. The e-mail will contain a link that you must follow to begin the application.

Thank you for registering with the NYS Consolidated Funding Application.

Please retain a copy of this email.

Your Application Number is 25665

Organization Name: **NYS DOS**

Project Name: **Sample**

Email Address: User@dos.ny.gov

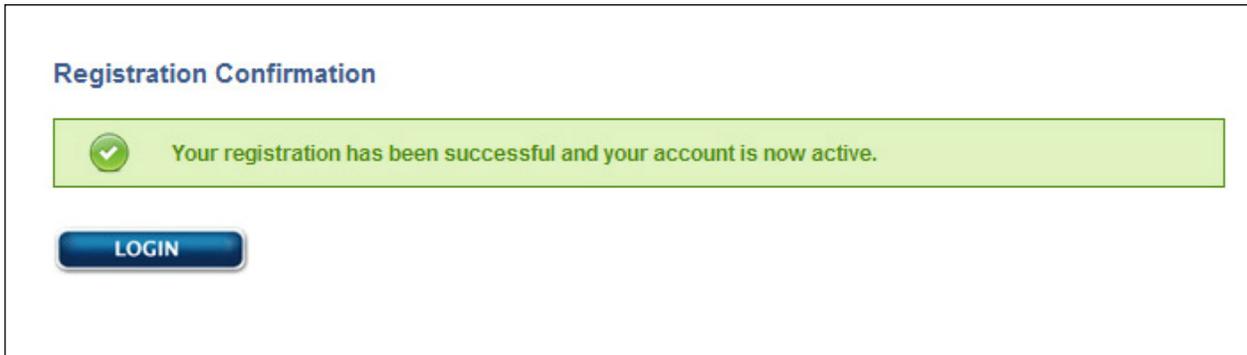
IMPORTANT

To continue the process you must use the following link:

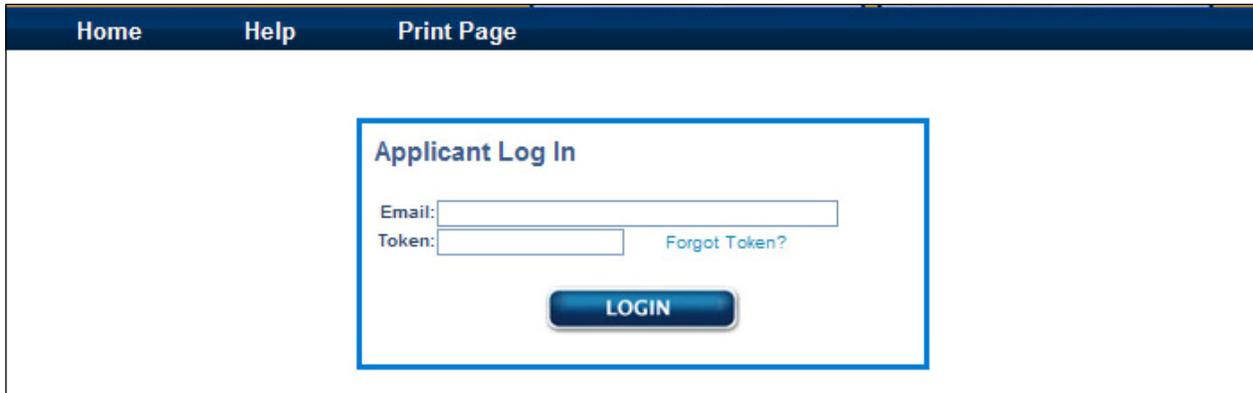
You will need to login using the email address shown above and the token displayed when you first registered.

[CLICK HERE TO ACTIVATE YOUR ACCOUNT](#)

After you select “CLICK HERE TO ACTIVATE YOUR ACCOUNT,” a page will open that confirms project registration. Select “LOGIN.”



To sign in, an applicant must use the same email address they used when registering. The token number must be typed into the box. It is important that an applicant with more than one project uses the proper token number so they don't start or revise the wrong application.



If you have forgotten your token, please click the “Forgot Token?” link. A message will appear instructing you to submit your e-mail address. Once you submit your address, you will receive an email that contains a phone number to call for help with your token. This number can only be used for assistance with a forgotten token.

If you need to call for a replacement token, you must provide the e-mail address, applicant identification, organization name, and project name.

Technical Requirements

The Welcome screen explains the technical requirements for using the CFA website, including the type of browser that can be used, the ability to create PDFs, and the ability to select and upload files.

New York State State Agencies Search all of NY.gov

The New NY WORKS in Business **CONSOLIDATED FUNDING APPLICATION**

2011 CFA Project Info Regional Economic Development Council

Home Help Application Print Log Out

WELCOME

Technical Requirements

Use of the CFA website requires the following:

- A modern, standards-compliant browser**
 Some functionality may not work in older browsers, such as Internet Explorer 7 (IE7) or earlier. Please use the latest version of Chrome, Firefox, or Internet Explorer. To upgrade your browser, please visit browsehappy.com.
- Ability to select and upload files**
 PDFs are static documents that can be created using various software, and are the only format that is accepted by our upload system. Information is available on [how to create PDFs from other documents](#).
- Ability to create PDF documents**
 You may not be able to upload documents using certain operating systems including iOS (iPhone, iPad), Android, and other mobile operating systems.
The preferred way to upload CFA documents is using Flash. Flash is free software that is usually already installed on most computers. You can determine if Flash is install on your computer here <http://www.adobe.com/software/flash/about/>. Flash can be downloaded for free at <http://get.adobe.com/flashplayer/>. Flash is not supported on many mobile operating systems.

Proceed with Application

Navigating through the Application

You have the ability to navigate forward and backward through the application using the navigator at the top of every screen. To go to a specific section of the application, you hover over a section. The topics associated with the section will appear. By selecting the blue box that corresponds to the topic of interest, you will be redirected to that section of the application. As you progress through the application, completed sections are indicated by a green check mark.

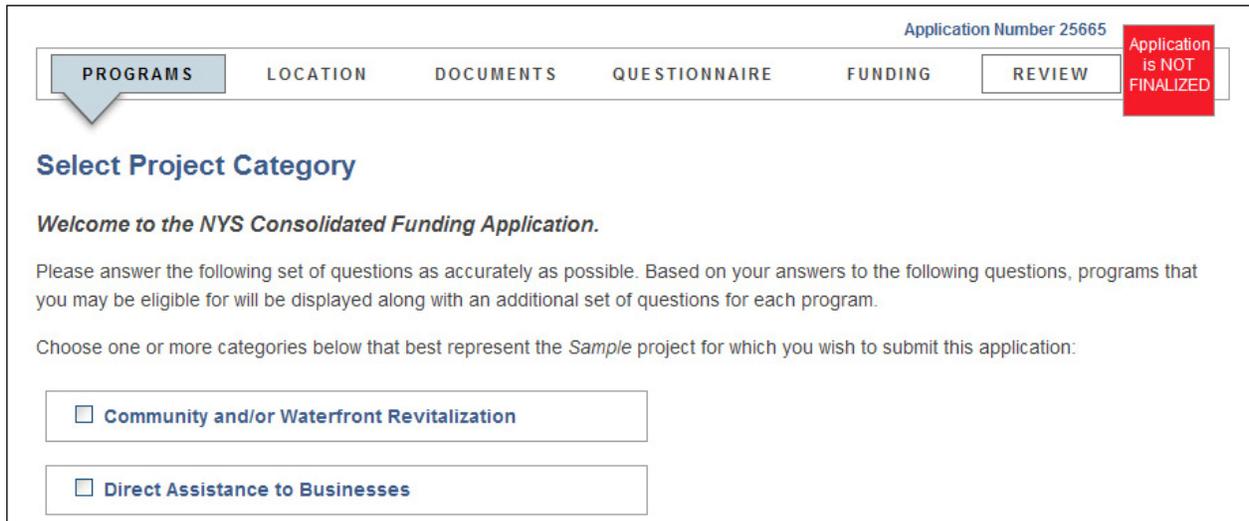


Starting Your Application

Once you log into your application, you must select your Project Category and Project Type to determine the programs for which you may be eligible for funding. If you do not answer a required question, you will be prompted to complete the unanswered question(s).

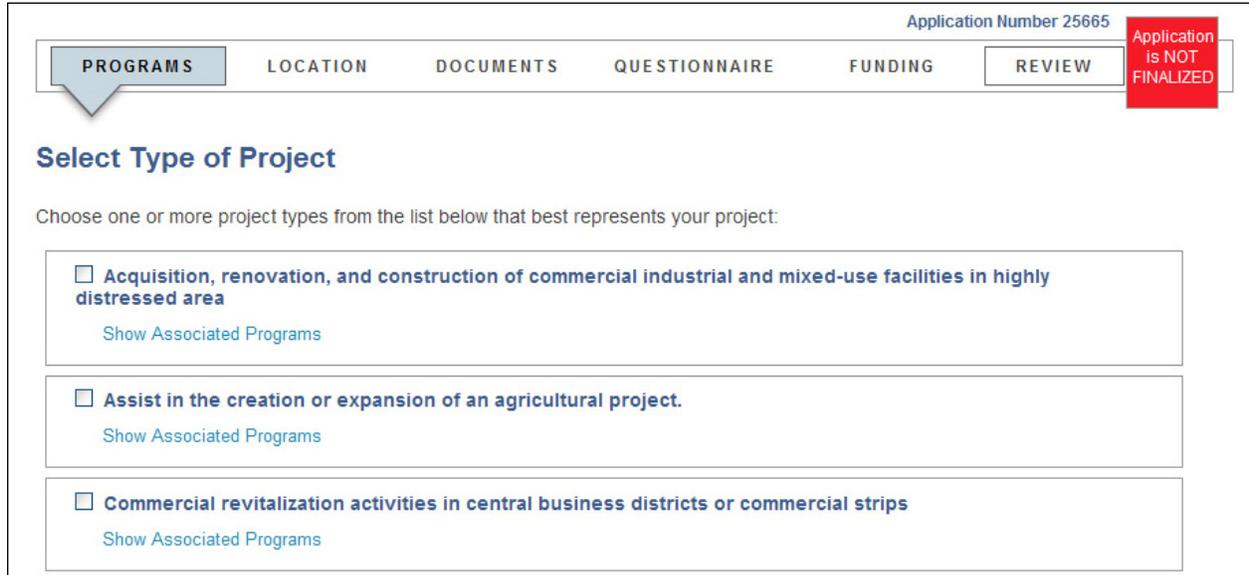
Select Project Category

Select one or more categories from the list that best represents your project.



Select Type of Project

Once you have selected a Project Category, you must choose your Project Type. Multiple Project Types can be selected.



Application Number 25665

Application is NOT FINALIZED

PROGRAMS LOCATION DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

Select Type of Project

Choose one or more project types from the list below that best represents your project:

- Acquisition, renovation, and construction of commercial industrial and mixed-use facilities in highly distressed area
[Show Associated Programs](#)
- Assist in the creation or expansion of an agricultural project.
[Show Associated Programs](#)
- Commercial revitalization activities in central business districts or commercial strips
[Show Associated Programs](#)

You may click “Show Associated Programs” to see programs that are associated with the Type of Projects listed.



Application Number 25665

Application is NOT FINALIZED

PROGRAMS LOCATION DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

Select Type of Project

Choose one or more project types from the list below that best represents your project:

- Acquisition, renovation, and construction of commercial industrial and mixed-use facilities in highly distressed area
[Show Associated Programs](#) ←
 - NYSDERDA Energy Efficiency Projects

Programs for which you may Potentially Qualify

A list of programs based on your Project Category and Project Type selections will appear. You may remove a program from consideration before proceeding with the application by clicking on the Remove Program button.

Programs Recently Added to your Application

Empire State Development

- Excelsior Jobs Program**
[Show program description](#) Remove Program ✕

NYS Energy Research and Development Authority

- NYSERDA Energy Efficiency Projects**
[Show program description](#) Remove Program ✕

Additionally, you may add programs that did not result from your Project Category and Type selections by selecting the program name from the dropdown list at the bottom of this screen under All Other Programs.

All Other Programs

The following dropdown list contains programs that are not included in your current list of programs based on your previous selections. You may add a program to your application by selecting the program from the dropdown:

- Select a program to associate with your application...
Select a program to associate with your application...
Recharge New York

Threshold Questions

You must answer one or more Threshold Questions to determine if you meet the minimum qualifications for the programs you have selected. A “View Help” button appears under many of the Threshold Questions. Selecting “View Help” will provide a detailed explanation or instructions relating to the question.

Application Number 25665

PROGRAMSLOCATIONDOCUMENTSQUESTIONNAIREFUNDING

Application is NOT FINALIZED

PROGRAMS

VIEW

THRESHOLD QUESTIONS

Instructions

Please answer the following questions.

Your answers will be automatically saved whenever you tab to the next question or when you click outside the answer box in which you are working. You may also click the "Save" button at the bottom of the screen to save your responses.

Legend

- ✔ the question has been answered
- ⊖ an answer is required but has not been provided

Completed questions: 0 / 3 total, 0 / 3 required[Show only fields below that are incomplete and required](#)

Threshold

Excelsior Jobs Program

Q_41

⊖

Does this project predominantly involve any of the following activities at the project location: (1) scientific research and development that will create at least 10 net new jobs in NYS; (2) software development that will create at least 10 net new jobs in NYS; (3) agricultural production or agricultural support (e.g. soil preparation, planting, harvesting and management, on a contract or fee basis) that will create at least 10 net new jobs in NYS; (4) manufacturing that will create at least 25 net new jobs in NYS; (5) financial services data center or financial services customer back office operations that will create at least 100 net new jobs in NYS; (6) a back office operation that includes customer service, information technology and data processing, human resources, accounting and related administrative functions and will create at least 150 net new jobs in NYS; (7) a distribution center involving processing, repackaging, and/or movement of finished or semi-finished goods to retail locations across a multi-state area that will create at least 150 net new jobs in NYS; (8) the creation of at least 300 net new jobs in NYS and the investment of at least \$6 million at the project locations; (9) any of the above mentioned activities by a business that employs at least 50 persons in NYS?

9

Region

Select the region(s) within which your project is located. You may select multiple regions by holding down the Control (Ctrl) key on your keyboard as you click the various regions from the dropdown list.

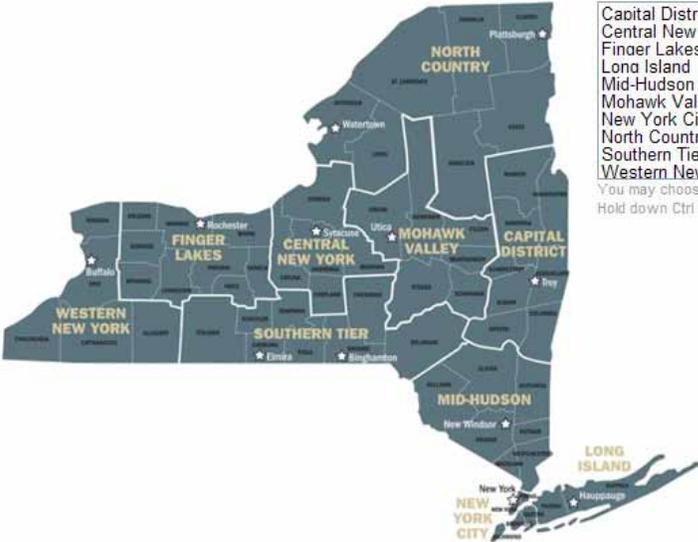
Application Number 25665

Application is NOT FINALIZED

PROGRAMS ✓ LOCATION DOCUMENTS QUESTIONNAIRE FUNDING REVIEW

PROJECT LOCATION

Select the region(s) within which your project is located



- Capital District
- Central New York
- Finger Lakes
- Long Island
- Mid-Hudson
- Mohawk Valley
- New York City
- North Country
- Southern Tier
- Western New York
- New York City

You may choose more than one.
Hold down Ctrl key while selecting.

Save & Proceed with Application

Required & Optional Documents

After you select your project's location, a screen will appear that lists the documents you must upload to complete the application (if any). These files must be in PDF format and cannot be larger than 5 MB (megabytes) in size. For more information on creating PDF files, click "How to create PDF's from other documents" <https://apps.cio.ny.gov/apps/cfa/help.cfm?section=pdf>.

Application Number 25665

Application is NOT FINALIZED

REQUIRED & OPTIONAL DOCUMENTS

Instructions

Your application requires you to upload supporting documents. These files must be in PDF format and cannot be larger than 5 MB in size. Avoid using special characters like *,%,# in your document name as this will cause errors in the upload. If an attachment question requires more than one document, you must create a single PDF file that contains all the required documents. More information is available on [how to create PDFs from other documents](#).

You may proceed with your application without uploading these documents. However, you must return to this section and upload the required documents before you can finalize and submit your application.

Your answers will be automatically saved whenever you tab to the next question or when you click outside the answer box in which you are working. You may also click the "Save" button at the bottom of the screen to save your responses.

Legend

- this document has been uploaded
- this document is required but has not been uploaded
- this document is optional and has not been provided. Optional documents are helpful in the evaluation of your application, but not required.
- the uploaded document is "restricted": The document will only be shared with the state agency/authority that is required to review this application.

You may proceed with your application without uploading the required documents, but you will not be able to finalize your application until all requirements have been completed. Sending hardcopies of attachments is no longer an option.

Questionnaire

The application will save your answers each time you tab or click out of a question box. The time the answer is saved is listed to the right of the answer box.

A red circle is displayed next to required questions and a grey circle next to optional questions.

You will not be able to submit your application until all required questions have been answered.

Application Number 25665

Application is NOT FINALIZED

QUESTIONNAIRE

Instructions

To proceed with your application, please answer the following questions.

If your answers are lengthy, we recommend you type your answers into a word processor and paste them into the application so you will always have a copy of your answers. (Note: By design, most of the formatting you create with your word processor will be lost when you paste answers into the application.)

Your answers will be automatically saved whenever you tab to the next question or when you click outside the answer box in which you are working. You may also click the "Save" button at the bottom of the screen to save your responses.

Legend

- ✔ the question has been answered
- ⊖ an answer is required but has not been provided
- ⊖ an answer is not required and has not been provided
- Ⓜ the answer is "restricted": The answer will only be shared with the state agency/authority that is required to review this application.

Disclaimer The questions posed in the initial sections of the Consolidated Funding Application are designed to elicit general, non-confidential information about the applicant and its project. Information the applicant believes is confidential (e.g., trade secrets or other sensitive business or personal information) should only be provided, to the extent required, in response to questions designated Ⓜ - "Restricted Questions" in the later program-specific portions of this application. Information provided in response to Restricted Questions will not be provided to members of Regional Economic Development Councils, and will be shared only with agencies and public authorities that are responsible for program administration and making decisions on your application, and will be protected from disclosure by agencies and public authorities in a manner that is consistent with state law.

Certification

The application includes a certification section where you verify your information by entering your name in the box. An example is a commitment to the Minority and Women’s Business Enterprise Equal Employment Opportunity requirements. Another is verification that the person filling out the application is authorized to submit the application, and that the information provided is true to the best of their knowledge.

Certification

Excelsior Jobs Program

Q_1038



By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving Assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

NYSERDA Energy Efficiency Projects

General Certifications

Q_1037



By entering your name in the box below, you certify and agree that you are authorized on behalf of the applicant and its governing body to commit the applicant to comply with the requirements of Article 15-A of the New York State Executive Law: Participation By Minority Group Members and Women With Respect To State Contracts by providing opportunities for MBE/WBE participation. You further certify that the applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

Request Funding

If your application(s) requires you to indicate the amount of funding you are requesting, boxes will appear that require you to enter funding amounts and details for each program on your application.

Application Number 23795

PROGRAMS

LOCATION

DOCUMENTS

QUESTIONNAIRE

FUNDING

REVIEW

Application is NOT FINAL (PDF)

PROJECT FUNDING

Instructions

The following section will collect information regarding your project's cost and funding. Please follow the instructions associated with each section below.

Total Project Cost

The TOTAL cost of the project for which funds are being requested via this CFA. Projects that have multiple phases should be careful to include ONLY the phase covered by this CFA round.

Total project cost: \$

SAVE

Total Project Funding Requested

The Total CFA funds requested is the maximum funding that could be awarded by any program in the CFA.

Total CFA funding being requested from all programs: \$

SAVE

Total Project Budget

Indicate the sources and uses of funds required to complete the project for which program funds are being requested via this CFA. This should include all requested CFA program funds and all non-CFA sources such as private funding, funds from lending institutions, and in-kind, as allowed by the program. If funding source supports multiple uses, each source should be accompanied by a separate use and amount. If your project has multiple phases that are outside the scope of this CFA request, include ONLY the budget for the phase included in this CFA.

Use	Source	Amount	Indicate Source/Comments	Add/Remove
Use	Source	5		Remove
TOTAL		5	0	Add Row

SAVE

Budget

Funding Requested from Programs

The amount you are requesting for each program on your application. If the project is a multi-year and/or multi-phase project, this should include ONLY the phase of the project for which funds are being requested.

Program	Amount Requested
NYSERDA Energy Efficiency Projects	\$ <input style="width: 150px;" type="text"/>
Industrial Development Bond Cap	\$ <input style="width: 150px;" type="text"/>
Total Amount Requested	\$ 0

SAVE

Program Budget(s)

For each program, indicate the sources and uses of funds required to complete the project for which funds are being requested. If you are requesting funds from multiple programs, the sum of all program requests should equal the total CFA funding requested. This Program Budget should be consistent with any program match requirements or funding limits, as detailed in the program's HFD section. Include all non-CFA sources such as private funding, funds from lending institutions, and in-kind, as allowed by the program. If a funding source supports multiple uses, each source should be accompanied by a separate use and amount.

NYSERDA Energy Efficiency Projects

Use	Source	Amount	Indicate Source/Comments	Add/Remove
<input style="width: 90%;" type="text"/>	<input style="width: 90%;" type="text"/>	<input style="width: 50px;" type="text"/>	<input style="width: 95%;" type="text"/>	<input type="button" value="Remove"/>
Total: NYSERDA Energy Efficiency Projects		\$ 0		<input type="button" value="Add Row"/>

Industrial Development Bond Cap

Use	Source	Amount	Indicate Source/Comments	Add/Remove
<input style="width: 90%;" type="text"/>	<input style="width: 90%;" type="text"/>	<input style="width: 50px;" type="text"/>	<input style="width: 95%;" type="text"/>	<input type="button" value="Remove"/>
Total: Industrial Development Bond Cap		\$ 0		<input type="button" value="Add Row"/>

SAVE

Review

Once you answer all the questions on your application, select the Save and Proceed button at the bottom of the screen. If you did not answer required questions, a red circle will display next to the questions section. You must go back and complete the required questions before you can finalize and submit your application.

Application Number 25665

PROGRAMS LOCATION DOCUMENTSQUESTIONNAIREFUNDINGREVIEWApplication is NOT FINALIZED

REVIEW

- ✔ Project
- ✔ Programs
 - Excelsior Jobs Program
 - NYSERDA Energy Efficiency Projects
- ✔ Location
- ✖ Documents
- ✖ Questionnaire
- ✖ Funding \$ 0

You have not completed 3 areas. Please use the links above to return to incomplete areas .

Application Number 25665

Application is NOT FINALIZED

PROGRAMS ✓LOCATION ✓DOCUMENTS ✓QUESTIONNAIRE ✓FUNDING ✓REVIEW

REVIEW

- ✓ Project
- ✓ Programs
- ✓ Location
- ✓ Documents
- ✓ Questionnaire
- ✓ Funding \$ 0

Please Acknowledge

Please Note: By clicking the button below you will no longer be able to modify or amend your application.

BY CLICKING HERE, I ACKNOWLEDGE THAT MY APPLICATION IS COMPLETE AND READY FOR FINALIZATION

To finalize and submit your application, you should click the dark blue button labeled “By Clicking here...” at the bottom of the screen. After you finalize and submit your application, it cannot be modified or amended.

After you submit your application, you will get a thank you message. You will also receive an e-mail message that acknowledges receipt of your application.

REVIEW PROCESS FOR CONSOLIDATED FUNDING APPLICATIONS (CFAs)

The previous section of this document describes how applicants access the online CFA and submit applications electronically. This section describes the CFA review process, review of the applications by Regional Councils and State agencies, and the awards process.

The processes described in this section and the next section (entitled, “The Role of Regional Councils”) do not apply to the ReCharge New York power program administered by the New York Power Authority (NYPA). While the CFA will be used for ReCharge New York and the Regional Council’s recommendations, strategies and priorities will be considered when reviewing applications under ReCharge New York, the process for ReCharge New York is largely prescribed by statute (New York Economic Development Law § 188-a). An overview of ReCharge New York may be found at <http://www.nypa.gov/RechargeNY/>.

Submission of Applications

CFAs must be submitted online by 4:00 PM on August 12, 2013. Supporting documents must be uploaded before applicants can finalize and submit their applications. Applications are project-based. Applicants will answer threshold questions to determine whether their project may be eligible for CFA funding programs and from what source(s). Because some funding sources are only available to certain categories of project applicants – such as municipalities, nonprofits, or businesses – these threshold questions will determine eligibility.

Regional Council Review

A CFA will be scored by each Regional Council in which the proposed project will take place. In cases where an applicant is proposing a project with multiple locations in multiple regions, the Councils from each region will provide scores. Applicants will select their region(s) using a map shown in the application. An applicant should be sure to select the region in which the project will take place, which may be different from the address provided for the contact person. The materials available to the Regional Council will contain all information necessary for review of the application. Confidential or proprietary information in the application will be included in the material reviewed by sponsoring State agencies but will not be available to the Regional Council.

Encourage Applicants to Apply for CFA

Many applications submitted through the CFA process involve projects and actions that have been identified by the Regional Councils as critical to advancing the regional economic development vision and strategies. Regional Council members are encouraged to reach out to potential sponsors of priority projects and actions to make them aware of the CFA process and to encourage them to apply for available State funding. Potential sponsors should contact their Regional Council for an explanation of the process for bringing proposals to the attention of the Council.

Review and Score CFAs

Each Regional Council will review and rank applications based on a set of standards, referred to as “endorsement standards.” Regional Councils will assign each project a single score of 20, 15, 10, 5 or 0 (no fractions) based on merit. The Regional Council endorsement will account for 20% of the total review.

Endorsement Standards

Each Regional Council will utilize statewide endorsement standards when reviewing CFAs submitted to their region. Regional Councils will take into account the degree to which the application helps implement the regional strategic plan. Applicants may take these standards into account when preparing applications.

Statewide Endorsement Standards		
For each CFA the Regional Council reviewer will determine the degree to which the application implements the regional strategic plan and assign the corresponding number of points as a score.		
IMPLEMENTATION OF THE PLAN	DEGREE	POINTS
The proposal is identified as a priority project by the Regional Council.	Priority	20
The proposal is identified in the plan and has regional benefits.	Very Strong	15
The proposal advances the plan and has local benefits.	Strong	10
The proposal is consistent with the plan and has project level benefits.	Moderate	5
The proposal has limited relationship to the plan.	Weak	0

Regional Council Strategic Plans

In 2011, Governor Cuomo created ten Regional Economic Development Councils to replace the State's top-down economic development system with a community-based approach that emphasizes each region's unique assets and harnesses local expertise. Regional Councils consist of public-private collaborations in the Capital Region, Central New York, Finger Lakes Region, Long Island, Mid-Hudson, Mohawk Valley, New York City, North Country, Southern Tier, and Western New York regions of the State.

Each Regional Council developed long term strategic plans for economic growth for their regions. These plans were developed with considerable public engagement, including hundreds of public meetings, forums and community workshops across the State. The plans set the vision for economic and community development for each region to create job growth and attract new investment. The plans also established parameters to guide applicants for potential projects or programs when considering whether to apply for funding assistance through the Consolidated Funding Application process.

Modifications were made to the plans in 2012 to address weaknesses and capitalize on new opportunities. These modifications were presented in each region's Progress Report. Each region's strategic plan and progress report are available on the region's web page. Regional web pages can be accessed by clicking on the map of the regions at <http://regionalcouncils.ny.gov/>.

Rank Projects Eligible for Competitive Regional Economic Development Resources

As outlined in the 2013 REDC Guidebook, each Regional Council will submit a list of priority projects recommended for funding. These projects will align with the strategic plan and help implement the strategies of the Regional Council.

State Agency Review

In addition to review and scoring by the Regional Councils, applications will undergo due diligence and technical review by State agencies administering the grant programs included in the 2013 CFA. After completing their due diligence, the State agency or agencies will notify Regional Councils as to whether or not projects are eligible for funding.

Applications will be reviewed by State agencies based on criteria established for each grant program and agency review will account for 80% of the total review. The remaining 20% will be based on the Regional Council's review.

The technical criteria may be found in the Available CFA Resources document accessible through <http://nyworks.ny.gov> or in the program funding announcement issued by the funding agency.

Awards

Subject to final approval by the funding agency, awards will be based on a composite of the State agency technical review and the Regional Council endorsement.

Each agency has in place an approval and disbursement process, which may require applicants to sign contracts or letters of commitment and submit supporting documents such as verifications of eligibility, tax status, and municipal resolutions.

Code of Conduct

A Code of Conduct has been established to ensure no conflict interferes with any Regional Council member acting in the best interest of all New Yorkers, regardless of their affiliation with, or relationship to, any business, agency program, or interest group. Among the topics covered by the Code of Conduct is an absolute disqualification from proceeding on a matter which the Regional Council member or his or her family has an ownership interest in, or is an officer, director, fiduciary employee, or consultant to a business or enterprise which would receive a special benefit not shared by other similarly situated businesses or enterprises or individuals in the State from a proposed course of action before the Regional Council.

A Regional Council member with a financial interest in a CFA must recuse himself or herself from any discussion and review of that CFA. Regional Council members are also required to participate in ethics training. Additional guidance on recusal of members may be found in the Open for Business Guidebook.

Members are also prohibited from disclosing confidential information acquired in the course of their duties as a member or by reason of their position as a member, or using such information to further their personal interests.

FREQUENTLY ASKED QUESTIONS

Q: What is the NYS Consolidated Funding Application (CFA)?

A: As part of the Governor’s Regional Economic Development Council initiative, the CFA is a modern and easy-to-use online application for accessing state resources currently available from multiple New York State agencies and authorities. The CFA allows applicants to access multiple State funding sources through one application. Available resources and the application itself can be accessed from the CFA homepage at <https://apps.cio.ny.gov/apps/cfa/>.

Q: Why did the State create the CFA for economic and community development funding?

A: The CFA is a more efficient and effective process for applicants to access economic and community development funding. In the past, applicants had to navigate multiple agencies and applications without any mechanism for coordination. Under this process, the CFA serves as the single entry point for access to economic and community development funding. A CFA can be submitted for single or multiple sources of funding through one application, making it quicker and easier for economic development projects to receive funding.

Q: What programs are included in the CFA?

A: A list of programs that are included in the CFA is contained in the Available CFA Resource manual, which can be accessed from the CFA homepage at <https://apps.cio.ny.gov/apps/cfa/>.

Q: Where can an applicant find guidance on applying for specific funding programs?

A: On the CFA home page, several documents are posted detailing how to use the CFA and outlining the available CFA available resources. These documents provide applicants with information about the application as well as programmatic detail for each resource that is a part of the CFA process.

Q: What if a project is eligible for funding that is not accessible through the CFA process? How do Regional Councils support applicants in obtaining these resources?

A: Each Regional Councils is staffed by representatives from a broad spectrum of State agencies who will help identify priority projects and potential funding sources within their agencies and then directly assist applicants throughout every step of the process.

In addition, relevant agencies are continuing to work to ensure that regulations, guidelines, and internal procedures for economic development loan and grant programs take into account each region’s strengths to create lasting economic opportunities.

Q: Is a hard copy of the application available? What if the applicant needs assistance in filling out the application? What if the applicant has any additional questions about the CFA?

A: Since the CFA is a dynamic web-based application, there is no printed copy of the CFA. While the CFA site is ADA compliant, for the aged or disabled who would like assistance and those with limited computer access, these applicants should reach out directly to their Regional Council through the appropriate email address below. A staff person from the Regional Council can help an applicant apply.

For additional questions related to the application, the applicant should reach out through the same email address.

Capital Region:	CFARegion1@ny.gov
Central New York:	CFARegion2@ny.gov
Finger Lakes:	CFARegion3@ny.gov
Long Island:	CFARegion4@ny.gov
Mid-Hudson:	CFARegion5@ny.gov
Mohawk Valley:	CFARegion6@ny.gov
New York City:	CFARegion7@ny.gov
North Country:	CFARegion8@ny.gov
Southern Tier:	CFARegion9@ny.gov
Western New York	CFARegion10@ny.gov

Q: What is the CFA timeline and how does it relate to the work of the Regional Councils?

A: The CFA solicitation will be open for 63 days – from June 17 at 8:00 AM to August 12 at 4:00 PM. Final attachments related to program specific requirements should be uploaded on the system. Sending hardcopies of attachments is no longer an option. Scoring by the Regional Council and agencies are expected to be finalized by September 24, 2013.

By September 24, each Regional Council will have submitted a Report on Progress and Plans for 2014.

NYSERDA will continue to accept applications to its open enrollment programs until all funds have been exhausted.

Q: What happens to the application once it is submitted?

A: After CFAs are finalized by applicants, the applicable Regional Council(s) will review them, assign endorsement scores, and rank the CFAs in relation to how they will assist in implementing the strategic plan. State agencies that administer the economic development programs associated with the CFA will exercise due diligence to determine relevant legal issues and potential disqualifying concerns.

Q: How will the agencies score the CFAs?

A: Scoring criteria for each program included in the CFA is explained in the Available CFA Resources guide. Agencies have their own method of assigning points to eligible projects. Technical scoring requirements are based on the requirements of each program. The agency's technical score, worth up to 80% of the total score, will be combined with the Regional Council score, worth up to 20% of the total score.

Q: How will the Regional Councils' endorsement standards relate to regional strategic plans?

A: Each Regional Council will follow scoring criteria for CFA projects based on the degree to which the application implements the regional strategic plan, as discussed in the Endorsement Standards section of this manual.

Q: Can applicants reapply for funding of projects that were not successful in previous CFA Rounds?

A: Applicants can reapply for funding for projects not funded in previous CFA rounds if they are still eligible for available funding sources. Applicants are encouraged to consult their Regional Council Strategic Plan to enhance project proposals to best implement the Regional Council Strategic Plan.

