

2012 Regional Council Process & Consolidated Funding Applications

Background:

The Regional Economic Development Councils are a community driven, regional approach to economic development in New York State. Each Council is made up of 20 to 30 business, academic and government leaders from throughout the Region. In 2012, the Senate and Assembly majority committees selected one member from each region to be a voting Regional Council member.

In 2011, each of the ten Regional Councils were tasked with developing a five-year strategic plan that included a comprehensive vision for economic development for that region, regional strategies to achieve that vision, and specific priority projects that are significant, regionally supported and capable of stimulating economic investment.¹ Empire State Development Corporation developed a competitive process in which Regional Council Strategic Plans containing recommendations for funding made by each Regional Council were reviewed by a Strategic Plan Review Committee consisting of two State Agency Commissioners and three economic development experts. Members of the 2011 Review Team included: Dall W. Forsythe, Senior Fellow at the Wagner School of Public Service at New York University and former Vice President for Finance and Operations at The Atlantic Philanthropies; Walter D. Broadnax, Professor of Public Administration at The Maxwell School of Syracuse University; Bruce J. Katz, Vice President at the Brookings Institution and founding Director of the Brookings Metropolitan Policy Program; Joan McDonald, Commissioner of the New York State Department of Transportation; and Cesar Perales, New York State Secretary of State. On December 8, 2011, the Governor and Legislative Leaders announced the winners of the first round of competition and one year later 97% of Round One projects were under contract and/or progressing.

In 2012, the Councils went a step further and produced Strategic Implementation Agendas that built upon the progress from the first round and further developed and refined their Regional Five Year Strategic Plans by undertaking four primary activities: Establishing a framework to advance the Regional Strategic Plan; Implementing the strategies laid out in the Strategic Plan; Creating a project pipeline; and providing a progress report documenting the success the Council has had in implementing its Strategic Plan. These actions were designed to encourage and accelerate implementation, performance, and collaboration within the regions. Priority projects that aligned with each region's agenda were chosen by the Councils from the applications submitted to the Consolidated Funding Application portal. These projects were published in the regions' Implementation Agendas, which are publically available online at www.regionalcouncils.ny.gov. Projects were scored by both the state agencies and the Regional Councils. The agencies scored their projects based on a technical evaluation in adherence with existing funding program guidelines and awarded a project up to 80 points. The remaining 20 points were awarded by the Regional Councils, and were based off the following endorsement standards:

¹ See Attachment A: Regional Council Guidebook: "A New State Government Approach to Economic Growth", page 4.

Statewide Endorsement Standards

Regional Council members will determine the degree to which the application implements the regional strategic plan and assign the corresponding number of points as a score.

IMPLEMENTATION OF THE PLAN	DEGREE	POINTS
The proposal is identified as a priority project by the Regional Council.	Priority	20
The proposal is identified in the plan and has regional benefits.	Very Strong	15
The proposal advances the plan and has local benefits.	Strong	10
The proposal is consistent with the plan and has project level benefits.	Moderate	5
The proposal has limited relationship to the plan	Weak	0

2012 Competition:

To incentivize the implementation process, the Regional Councils competed for \$220 million in ESD capital funds and tax credits based on their Implementation Agendas. In 2012, five awards of \$25 million were made. The competition was split into two parts:

- First, the four regions with the 2011 Best Plans competed for two awards of up to \$25 million each in capital funds. Awards were made based on the progress the Regional Councils have made implementing their strategies and evolution of their strategic plans as outlined in their submitted Implementation Agendas including any revisions or updates.
- Second, the remaining six regions competed for three awards of up to \$25 million each in capital funds. Awards were made based on the progress the Regional Councils have made implementing their strategies and evolution of their strategic plans as outlined in their submitted Implementation Agendas including any revisions or updates.

The balance of the \$25 million in capital funds was divided among the five remaining regions. Each region will also be eligible for up to \$10 million in Excelsior Tax Credits to help attract and grow business in the region.²

According to the enabling legislation as passed by the New York State legislature and signed into law by the Governor, “funding will be pursuant to a plan developed by the chief executive officer of the New York state Urban Development Corporation and based in part on a competitive selection process among the Regional Economic Development Councils and will support initiatives based on anticipated economic development benefits. Such moneys will be awarded by the New York State Urban Development Corporation at its discretion.”³

To reflect the changing nature of the competition, the 2012 Strategic Implementation Assessment Team was led by Secretary of State Cesar Peralas and included Office of General Services Commissioner Roann Destito, Tax and Finance Commissioner Thomas Mattox, Environmental Facilities Corporation CEO Matthew Driscoll and Agriculture and Markets Commissioner Darrel Aubertine with support from the Brookings Institution, vis a vis Bruce Katz and Amy Liu. The SIAT reviewed each region’s Implementation Agendas based on established criteria and also traveled to each Region to view and tour the progress first hand. Each tour included a panel presentation that allowed for ample question and answer opportunities between the SIAT and Regional Council members. Each of the ten Regional Council visits included a tour of projects funded in the 2011 CFA which demonstrated Regional Council progress and accomplishments.

The SIAT provided an assessment of each plan⁴ and made recommendations to Kenneth Adams, President & CEO, Empire State Development Corporation of the five reports that most closely aligned with these criteria.⁵ President Adams accepted the recommendations of the Committee and, pursuant to his statutory powers as head of the Urban Development Corporation, awarded five regions with the top Implementation Agenda up to \$40 million in funding consisting of \$25 million in capital grants and \$15 million in Excelsior tax credits for priority projects identified in their Strategic Plans. The balance of the incentives was awarded to projects identified by the Councils as in alignment with their strategies and priorities.

In addition, project sponsors applied for up to \$600 million in economic development resources available from ten state agencies through the Consolidated Funding Application (CFA). The CFA allows businesses and other entities to apply for multiple agency funding sources through a single, web-based application. Awards for CFA projects were made based on the endorsement of the local Regional Council⁶ and the technical program review of the agencies providing resources, subject to interagency team analysis and agency discretion.⁷

² See Attachment B: Regional Council Guidebook: “Implementing a New Operating Model for NYS Government,” page 16.

³ See Chapter 54 of the Laws of 2011, Capital Projects Budget, page 595.

⁴ See Attachment D: Regional Documents containing materials for each region, including the Strategic Plan, Review Committee Assessment and CFA Projects.

⁵ See Attachment C: Memo to Kenneth Adams from members of Strategic Plan Review Committee, December 5, 2011.

⁶ See Attachment A: Regional Council Guidebook: “A New State Government Approach to Economic Growth,” page 11.

⁷ See Attachment A: Regional Council Guidebook: “A New State Government Approach to Economic Growth,” page 11.

In 2012, the CFA opened for applications on May 3 and closed on July 14. Prior to that, all details about CFA resources were made available online via the 2012 CFA Resources Available Document, the State Register and the New York State Contract Reporter. When the portal closed on July 14, agencies immediately began marking projects eligible or ineligible. All eligible projects were then made available to the Regional Councils for their scoring process and the agencies for their technical evaluation. Scores had to be finalized by September 15. After the SIAT Tours concluded, the Inter-agency team met to review the projects and make final funding decisions.

The interagency team reviewed projects based on relevant factors including Regional Councils Implementation Agendas and designation of priority projects, project alignment with regional goals and objectives, funding availability and regional need.⁸ Priority projects are those specifically enumerated in the Regional Council Reports, receiving a score of 20 from the Regional Council, and identified as significant, regionally supported and capable of stimulating economic investment.

The interagency team once again consisted of professional staff from relevant agencies and authorities' with detailed knowledge of their respective CFA program funding. This group conducted an analytical assessment that provided the basis for project funding recommendations made by individual agencies for final approval by respective agency or authority head. As provided in the budget, the Regional Council capital fund was awarded by ESD in its discretion.

This process resulted in funding of projects that aligned with a long term, regionally generated implementation agendas and are capable of stimulating economic growth and investment.

On December 19, 2012, the Governor announced that 725 projects totaling \$738 million had been awarded through Round Two of the Regional Economic Development Council initiative. Best Plan awards were given to Central New York, Mid Hudson, Southern Tier, North Country and Finger Lakes in adherence to the competition guidelines detailed above.

⁸ See Attachment A: Regional Council Guidebook: "A New State Government Approach to Economic Growth," page 11.

MOHAWK VALLEY REGIONAL ECONOMIC DEVELOPMENT COUNCIL

Assessment of the 2012 Progress Report

Part One: Strategic Plan Update

Building on the 2011 Strategic Plan

A. Addressing weaknesses identified in the 2011 assessments

The Mohawk Valley Regional Economic Development Council (MVREDC) update addresses 5 out of 7 opportunities for improvement noted in 2011. The updated plan now balances cyber security and other sectors with nanotechnology (the main 2012 focus); relies much less on additional state investment for growth, particularly regarding the array of regional infrastructure projects; and better defines funding sources for proposed priority projects. The region is better organized to address challenges and to assemble organizational support structures to implement the plan. The implementation agenda, while limited to projects funded through the 2011 CFA, provides more specific timelines for estimated completion.

In 2011 the MVREDC created a solid performance measurement matrix, and provided qualitative results in the report. However, quantitative measures did not seem to be addressed and it is still unclear how progress on projects would have impacted the way they were scored. One weakness from 2011 that was not addressed was the lack of a broader strategy to address agricultural and food processing opportunities.

B. Building upon strengths identified in the 2011 assessment

The public participation process employed by the MVREDC continues to be strong, community-driven, and comprehensive and to present broad opportunities for input. The updated plan continues a strong focus on education and workforce development. Proposed 2012 priority projects capitalize on specialty areas of focus or assets within the region, and private sector investment is identified for many business specific projects. Timeline and benchmarks are provided for most of the 2011 priority projects.

Strategies

The MVREDC repackaged, simplified, and prioritized their strategies based on public input. The strategies are well-rounded and address multiple topic areas (business expansion, workforce development, innovation, infrastructure, and strengthening government and civic effectiveness) and areas of strength. The strategies continue to be based on leveraging the region's strengths to build new products and new growth opportunities. The Mohawk Valley's emergence as a nanotechnology leader will complement its increasingly diverse economic base.

Impressive progress was made in their priority goals for aligning the workforce including cultivating and expanding the current collaborations in healthcare and cyber security/internet technology, improving the quality of educational and training programs and utilizing regional educational assets. Other improvements include stronger partnerships with higher education in research, technology transfer and workforce development, and continued development of STEM education for grades K-12.

The update also supports efforts to enhance tourism and quality of life assets across the counties. Examples of proposed 2012 priority projects addressing these resources are upgrades to the Baseball Hall of Fame, façade work on the Utica Memorial Auditorium, and acoustic upgrades at the Foothills Performing Arts Center.

The 2012 progress report identifies infrastructure projects that support regional growth, modifying the 2011 infrastructure strategy which relied heavily on state resources to achieve the region's infrastructure goals of remediating brownfields, downtown revitalization and transportation improvements.

Implementation Agenda

The only actions included in the implementation agenda are ones relating to projects which applied for 2011 CFA funds. Included in the implementation agenda is a list of projects submitted for funding in 2011, the status of their progress, and a timeline for key actions to be taken (presumably) by project sponsors. It does not address the implementation of 2012 priorities unless those projects are extensions of 2011 funded projects.

No tasks are identified for the MVREDC, partnership organizations (other than project sponsors), or work groups which would advance priority projects or address priority strategies. One consequence of omitting non-state funded activities from the implementation agenda is that some action items or strategies are not addressed. For example, no action items are provided for Strategy 5: Increasing Government and Civic Effectiveness (FORGE).

The MVREDC considered project readiness when considering priority project endorsement, accounting for 7% of a priority project's score. Readiness was also demonstrated by the large number of projects that are already making significant progress and are leveraging more support to continue in 2012. A majority of the business expansion projects are shovel ready and are focused on job retention in their historic manufacturing sectors.

All of the proposed priority projects can be linked to a strategy, although it is unclear if several projects are tied to key targeted concentrations. Some projects are not linked to the key sectors, but will help create jobs in the historical manufacturing sector (metal, textile, and paper). Examples are the Mohawk Fabrics Expansion and Rome Strip Steel.

Part Two: Progress Made Implementing Strategies

Progress

A. Organizational progress

The MVREDC formed 5 committees: Executive, Project Monitoring, Outreach & Communications, Strategic Planning & Oversight, and CFA Endorsement Standards committee. In addition, they formed a County Liaison Committee to serve as a project pipeline, identifying projects, assisting with consolidated funding applications, and scoring priority projects using regional standards. The County Liaison Committee includes over 60 individuals from 6 counties who represent business, education, chambers of commerce, economic development, planning and government. A Priority Project Survey was also used to surface potential priority projects and to identify potential CFA applicants.

The MVREDC conducted two other surveys to determine how well it was communicating with the public; to see if the priority the placed on strategies by the council matched public priorities; and to see how the public gauged the effectiveness of the MVREDC. A Council Performance Measurement Survey gauged effectiveness of the Strategic Plan; and a Public Performance Measurement Survey gauged effectiveness of the Council. Most

important among actions to transform the Mohawk Valley Region was increasing the opportunities for small businesses

The MVREDC has successfully enhanced partnerships with local colleges and universities, and established 2012 goals that included developing college and university partnerships to create new and emerging businesses and leverage key research and development assets, such as the Air Force Research Laboratory and Masonic Medical Research Lab.

B. Examples of progress

Projects in the Mohawk Valley were awarded \$60.2 million towards 59 projects through the 2011 CFA. The region has made strong progress in implementing goals/strategies in the strategic plan. This includes 100% completion of several projects as well some significant steps on its transformational projects.

The most impressive demonstrations of progress are the transformational projects of Nano and Cyber Security. For example, CNSE and SUNYIT have developed a computer chip hybrid integration partnership to facilitate the development, corporate attraction and long-term operation of a new technology complex being developed at SUNYIT. Two tenants have been lined up for the \$51 million technology center under construction at SUNYIT. The completion of this facility will result in the creation of over 300 jobs in this first phase of project development.

A second high-tech example is a cybersecurity accelerator grant for the Griffiss Institute, which will provide needed connectivity to support the knowledge-based economy of IT and cybersecurity industry in the Mohawk Valley. The Griffiss Institute is completing the detailed design phase for a project that provides high speed research connectivity to major university and corporate research networks. Planned facility upgrades have been instrumental in establishing cooperative research programs between the **Air Force Research Laboratory** in Rome and major defense contractors and universities addressing assured cloud computing and high-performance computing technologies.

Finally, it is not clear what, if any, non-state funded actions were taken to advance the MVREDC strategies.

Leveraging of Resources

For 2012-13, the MVREDC proposes to use \$25M in ESD capital funds to leverage \$170M in other funds to support its priority projects. Twenty-four priority projects have some degree of private leverage. There is demonstrated commitment from the region's universities, existing businesses, and municipalities to the MVREDC priority projects.

Stronger partnerships with higher education in research, technology transfer and workforce development is evident. However, it is not evident whether the region has developed new or expanded partnerships with private, not-for-profit, and other government entities relating to technical assistance, staff expertise, and institutional resources.

Leverageable resources include investments in research, training, educational and other facilities. This is a priority of MVREDC.

Performance Measures

In 2011 the MVREDC created a solid performance measurement matrix which is a combination of both qualitative and quantitative metrics. The Co-Chairs noted that they will be tracked and analyzed over the life of the strategic plan as a means of understanding the plan's impact and relative success over time. This was not clearly evident in the 2012 update.

Process

The three major components of the public participation strategy were public information, outreach and stakeholder engagement. The communication of the plan was greatly simplified as were the priorities. This was based on a broad public outreach, including a public survey which revealed a need to simplify the words and strategies and their strategic plan.

Outreach efforts focused on presentations to a wide variety of business and civic organizations throughout the region, and virtually all MVREDC members assisted. This created the opportunity for two-way communication and allowed for many questions about the process to be addressed, and by holding meetings in all six counties the MVREDC could recognize both the similarities and distinctive characteristics of member counties. The MVREDC also provided easy access to information, mechanisms for public feedback, and opportunities for community dialogue. Web and social media postings, emails, and news media announcements were valuable vehicles for disseminating project information.

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommendedFunding	Awarded
17491	Mohawk Valley	Ag_and_Markets	AgMkts ADP	Schoharie County Agriculture Assistance Program	Schoharie County	58.33	20	78.33	\$475,000.00	Awarded
18642	Mohawk Valley	Ag_and_Markets	AgMkts ADP	Adirondack Distilling	Mohawk Valley EDGE	35.67	20	55.67	\$0.00	Not-Awarded
18700	Mohawk Valley	Ag_and_Markets	AgMkts ADP	Dykeman and Sons Inc	Montgomery County Soil and Water Conservation District	40.33	7.5	47.83	\$0.00	Not-Awarded
16399	MULTI: Capital District, Mohawk Valley, New York City	Arts	Arts APG	Boot Camp Business Training for Artists	New York Foundation for the Arts	61	7.5	68.5	\$0.00	Not-Awarded
14793	Mohawk Valley	Arts	Arts APG	Romanticism and the American Landscape	New York State Historical Association	47	5	52	\$100,000.00	Awarded
14880	MULTI: Capital District, Central New York, Finger Lakes, Mid-Hudson, Mohawk Valley, New York City, Southern Tier, Western New York	Arts	Arts APG	New York Canals Dance Tour 2013	NYS DanceForce	36	12.5	48.5	\$0.00	Not-Awarded
14517	Mohawk Valley	Arts	Arts APG	The Summer of Sculpture	Sculpture Space	0	20	20	\$100,000.00	Awarded
19298	Mohawk Valley	Arts	Arts APG	Acoustic treatment	Foothills Performing Arts Center	0	20	20	\$0.00	Not-Awarded
19669	Mohawk Valley	Arts	Arts APG	Utica Symphony 2013	Utica Symphony Inc	0	20	20	\$0.00	Not-Awarded
17982	MULTI: Capital District, Central New York, Finger Lakes, Long Island, Mid-Hudson, Mohawk Valley, New York City, North Country, Southern Tier, Western New York	Arts	Arts APG	CSC Live	CSC Repertory Ltd dba Classic Stage Company	0	15	15	\$0.00	Not-Awarded
16124	Mohawk Valley	Arts	Arts APG	Prints of Andy WarholFrom A to B and Back Again	MunsonWilliamsProctor Arts Institute	0	7.5	7.5	\$0.00	Not-Awarded
17485	Mohawk Valley	Canals	Canals CGP	Bellamy Harbor Terminal Rehabilitation Phase I	City of Rome	62.5	20	82.5	\$150,000.00	Awarded
17148	Mohawk Valley	Canals	Canals CGP	Town of German Flatts Park	Town of German Flatts	70.5	10	80.5	\$150,000.00	Awarded
15727	Mohawk Valley	Canals	Canals CGP	Small Business Improvement Program	Village of Frankfort	30	20	50	\$0.00	Not-Awarded
17311	Mohawk Valley	Canals	Canals CGP	South Ann Street Bridge	City of Little Falls	28	20	48	\$0.00	Not-Awarded
19235	Mohawk Valley	DEC	DEC CWSRF	Village of Cooperstown Wastewater Upgrade Engineering Study	Village of Cooperstown	70	7.5	77.5	\$30,000.00	Awarded
16410	Mohawk Valley	DEC	DEC CWSRF	Town of Forestport Wastewater Engineering Study	Town of Forestport	37	20	57	\$30,000.00	Awarded
16424	Mohawk Valley	DOL	DOL EET	Harden Furniture Modernization Project	Harden Furniture Inc	31.08	20	51.08	\$0.00	Not-Awarded
19646	Mohawk Valley	DOL	DOL EET	Utica NY Grant Application	ConMed Corporation	27.48	20	47.48	\$0.00	Not-Awarded
19165	Mohawk Valley	DOL	DOL EET	compliance and supervisory training	Carbone Management Group LLC	42.24	5	47.24	\$0.00	Not-Awarded
15243	Mohawk Valley	DOL	DOL EET	Train truck glide	Austin Mohawk and Company Inc	24.17	15	39.17	\$0.00	Not-Awarded
18479	Mohawk Valley	DOL	DOL OJT	Quality and Technical Training	Goodrich Corporation	54	15	69	\$50,000.00	Awarded
19366	Mohawk Valley	DOL	DOL OJT	Tec Mar	TecMar NY LLC	0	20	20	\$0.00	Not-Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommendedFunding	Awarded
18261	Mohawk Valley	DOL	DOL UWT	CNC Machinist Training	Mohawk Valley Community College	47.74	20	67.74	\$99,000.00	Awarded
15777	Mohawk Valley	DOL	DOL UWT	Manufacturers Training	Madison-Oneida BOCES	38.07	20	58.07	\$17,200.00	Awarded
17519	Mohawk Valley	DOL	DOL UWT	Nurses Aide and Home Health Aide Training	Valley Health Services, Inc.	37.81	20	57.81	\$54,750.00	Awarded
17467	Mohawk Valley	DOL	DOL UWT	Welding Employment Entry Level Program	Madison Oneida BOCES	31.98	10	41.98	\$0.00	Not-Awarded
19433	Mohawk Valley	DOL	DOL UWT	eTraining for the 21st Century Workforce	Mid York Library System and Utica Public Library	27.03	10	37.03	\$0.00	Not-Awarded
19366	Mohawk Valley	DOL	DOL UWT	Tec Mar	TecMar NY LLC	0	20	0	\$0.00	Not-Awarded
19589	Mohawk Valley	DOS	DOS LWR	Planning for Mohawk Valley Main Street Program	Otsego County	73.6	20	93.6	\$300,000.00	Awarded
15667	Mohawk Valley	DOS	DOS LWR	Design and Construct Kayak/Canoe Launch along Erie Canal/Mohawk River	Village of Mohawk	68.8	20	88.8	\$22,500.00	Awarded
15669	Mohawk Valley	DOS	DOS LWR	Design and Construct Village Gateway Enhancements	Village of Mohawk	63.2	20	83.2	\$75,000.00	Awarded
18032	Mohawk Valley	DOS	DOS LWR	Downtown Revitalization - Building Reuse	City of Amsterdam	63.2	20	83.2	\$200,000.00	Awarded
19960	Mohawk Valley	DOS	DOS LWR	Construction of Old Military Road Trail Phase 2	Village of Fort Plain	61.6	20	81.6	\$40,000.00	Awarded
16482	Mohawk Valley	DOS	DOS LWR	Implementation of Otsego Lake Watershed Management Plan	Village of Cooperstown	56.8	20	76.8	\$0.00	Not-Awarded
18281	Mohawk Valley	DOS	DOS LWR	Mohawk River Towns Capacity Building	Montgomery County	66.4	7.5	73.9	\$0.00	Not-Awarded
15624	Mohawk Valley	DOS	DOS LWR	Construction of Tory Creek Park Enhancements	Village of Mohawk	66	0	66	\$0.00	Not-Awarded
15685	Mohawk Valley	EFC	EFC GIGP	Green Main St	Village of Cooperstown	51	20	71	\$636,854.00	Awarded
19511	Mohawk Valley	EFC	EFC GIGP	Urban Green Infrastructure Project	City of Utica	57	10	67	\$0.00	Not-Awarded
19721	Mohawk Valley	EFC	EFC GIGP	Utica Downspout Disconnection Program	City of Utica	49	10	59	\$0.00	Not-Awarded
18374	Mohawk Valley	EFC	EFC GIGP	Dietz Street Parking Lot Reconstruction	City of Oneonta	35	20	55	\$0.00	Not-Awarded
18051	Mohawk Valley	ESD	ESD EIPC	Alliance RAS Proposal	Alliance Paving Materials Inc	58	20	78	\$100,000.00	Awarded
16466	MULTI: Capital District, Central New York, Finger Lakes, Long Island, Mid-Hudson, Mohawk Valley, New York City, North Country, Southern Tier, Western New York	ESD	ESD EIPRDD	NDTurf	New Dimensions Turf	50	20	70	\$0.00	Not-Awarded
18660	Mohawk Valley	ESD	ESD EJP	Facility upgrade and increased productivity	Burrows Paper Corporation	68	20	88	\$300,000.00	Awarded
19366	Mohawk Valley	ESD	ESD EJP	New acquaculture facility	TecMar NY LLC	66	20	86	\$1,000,000.00	Awarded
18579	Mohawk Valley	ESD	ESD EJP	Gehring Tricot Expansion Project	Renaissance Grants Planning LLC	62	20	82	\$700,000.00	Awarded
16507	Mohawk Valley	ESD	ESD EJP	Power distribution	Custom Electronics Inc	54	20	74	\$250,000.00	Awarded
18900	Mohawk Valley	ESD	ESD EJP	C2IE	PAR Government Systems Corporation	48	20	68	\$0.00	Not-Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommendedFunding	Awarded
18479	Mohawk Valley	ESD	ESD EJP	Project Orange	Goodrich Corporation	52	15	67	\$0.00	Not-Awarded
16890	Mohawk Valley	ESD	ESD EJP	Save Canajoharie	Richardson Brands	40	10	50	\$0.00	Not-Awarded
15853	Mohawk Valley	ESD	ESD EJP	MH Stallman Amsterdam Expansion Phase II	MH Stallman Company	29	5	34	\$0.00	Not-Awarded
19358	Mohawk Valley	ESD	ESD Grants	Griffiss FIS	CS Engineers	76	20	96	\$300,000.00	Awarded
17519	Mohawk Valley	ESD	ESD Grants	Living Learning Community	Valley Health Services Inc.	73	20	93	\$1,775,000.00	Awarded
16424	Mohawk Valley	ESD	ESD Grants	Harden Furniture Modernization Project	Harden Furniture, Inc.	68	20	88	\$600,000.00	Awarded
18511	Mohawk Valley	ESD	ESD Grants	Northeast Cyber Forensics Center at Utica College	Utica College	68	20	88	\$250,000.00	Awarded
18660	Mohawk Valley	ESD	ESD Grants	Investing for our next century in New York	Burrows Paper Corporation	68	20	88	\$250,000.00	Awarded
18900	Mohawk Valley	ESD	ESD Grants	C2IE	PAR Government Systems Corporation	68	20	88	\$50,000.00	Awarded
14506	Mohawk Valley	ESD	ESD Grants	307 Upgrades	Rome Strip Steel Co Inc	66	20	86	\$150,000.00	Awarded
19366	Mohawk Valley	ESD	ESD Grants	Tec Mar	TecMar NY LLC	66	20	86	\$400,000.00	Awarded
19030	Mohawk Valley	ESD	ESD Grants	Utica Memorial Auditorium Next 50 Facade	Upper Mohawk Valley Memorial Auditorium Authority	65	20	85	\$250,000.00	Awarded
18319	Mohawk Valley	ESD	ESD Grants	Outpatient Pavillion Expansion	St Marys Healthcare	65	20	85	\$307,000.00	Awarded
18651	Mohawk Valley	ESD	ESD Grants	Cloning Replacement Hearts Organ Bioengineering Program	Masonic Medical Research Laboratory	64	20	84	\$300,000.00	Awarded
18874	Mohawk Valley	ESD	ESD Grants	Project Cardinal Phase II Buildout	Cardinal Griffiss Realty LLC	63	20	83	\$150,000.00	Awarded
18579	Mohawk Valley	ESD	ESD Grants	Gehring Tricot Expansion Project	Renaissance Grants Planning LLC	62	20	82	\$250,000.00	Awarded
19209	Mohawk Valley	ESD	ESD Grants	Improvements	GloversvilleJohnstown WWTF	62	20	82	\$1,000,000.00	Awarded
19280	Mohawk Valley	ESD	ESD Grants	Rome Capitol Theatre Expansion and Restoration Project	Capitol Civic Center Inc	62	20	82	\$250,000.00	Awarded
15727	Mohawk Valley	ESD	ESD Grants	Small Business Improvement Program	Village of Frankfort	61	20	81	\$50,000.00	Awarded
17748	Mohawk Valley	ESD	ESD Grants	Rome Cable Complex 4 Demolition and Cleanup	Rome Community Brownfield Restoration Corporation	61	20	81	\$200,000.00	Awarded
18119	Mohawk Valley	ESD	ESD Grants	Center for Global Advanced Manufacturing CGAM Characterization Laboratory	SUNY Institute of Technology	66	15	81	\$250,000.00	Awarded
16601	Mohawk Valley	ESD	ESD Grants	ColdPoint2012	Cold Point Corporation	60	20	80	\$20,000.00	Awarded
17487	Mohawk Valley	ESD	ESD Grants	Manufacturing Expansion for the manufacture of Jet Engine Parts	Turbo Machined Products LLC	60	20	80	\$100,000.00	Awarded
18037	Mohawk Valley	ESD	ESD Grants	Pro Zone Lockers	Amsterdam Industrial Development Agency	60	20	80	\$50,000.00	Awarded
18642	Mohawk Valley	ESD	ESD Grants	Adirondack Distilling	Mohawk Valley EDGE	60	20	80	\$15,000.00	Awarded
19794	Mohawk Valley	ESD	ESD Grants	City Center Sewer Improvements	City of Utica	60	20	80	\$400,000.00	Awarded
15725	Mohawk Valley	ESD	ESD Grants	Strengthening Healthcare	Rome Memorial Hospital Inc	64	15	79	\$150,000.00	Awarded
14802	Mohawk Valley	ESD	ESD Grants	Digital Conversion	Strand Theatre of Old Forge	63	15	78	\$25,000.00	Awarded
16513	Mohawk Valley	ESD	ESD Grants	Digitization Project	National Baseball Hall of Fame and Museum	58	20	78	\$75,000.00	Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommendedFunding	Awarded
16890	Mohawk Valley	ESD	ESD Grants	Save Canajoharie	Richardson Brands	68	10	78	\$100,000.00	Awarded
19298	Mohawk Valley	ESD	ESD Grants	Acoustic treatment	Foothills Performing Arts Center	58	20	78	\$50,000.00	Awarded
19405	Mohawk Valley	ESD	ESD Grants	Small Business Revolving Loan Fund	Herkimer County Industrial Development Agency	63	15	78	\$50,000.00	Awarded
19795	Mohawk Valley	ESD	ESD Grants	East Herkimer Sewer Project III	Town of Herkimer	58	20	78	\$500,000.00	Awarded
12945	Mohawk Valley	ESD	ESD Grants	bad ponder2012	Amsterdam Industrial Development Agency	57	20	77	\$50,000.00	Awarded
19637	Mohawk Valley	ESD	ESD Grants	Fairgrounds Capital Improvements Project	Cobleskill Agricultural Society	62	15	77	\$25,000.00	Awarded
16507	Mohawk Valley	ESD	ESD Grants	Power distribution	Custom Electronics Inc	57	20	77	\$100,000.00	Awarded
19194	Mohawk Valley	ESD	ESD Grants	Downtown Utica Streetscape and Parking Improvement	City of Utica New York	57	20	77	\$250,000.00	Awarded
18032	Mohawk Valley	ESD	ESD Grants	Downtown Revitalization I	Amsterdam Industrial Development Agency	56	20	76	\$225,000.00	Awarded
17516	Mohawk Valley	ESD	ESD Grants	Manufacturing Upgrades A	Bonide Products Inc	55	20	75	\$20,000.00	Awarded
18794	Mohawk Valley	ESD	ESD Grants	Verona Sewer District	Town of Verona	55	20	75	\$500,000.00	Awarded
19283	Mohawk Valley	ESD	ESD Grants	Griffiss Institute Project	Mohawk Valley EDGE	54	20	74	\$0.00	Not-Awarded
16409	MULTI: Mohawk Valley, North Country	ESD	ESD Grants	OFF Biomass Heating	Old Forge Properties	53	20	73	\$0.00	Not-Awarded
19614	Mohawk Valley	ESD	ESD Grants	Ommegang 2013	Brewery Ommegang	52	20	72	\$0.00	Not-Awarded
16588	Mohawk Valley	ESD	ESD Grants	Job Retention File Folder Machine	Central Association for the Blind Inc	56	15	71	\$0.00	Not-Awarded
18206	Mohawk Valley	ESD	ESD Grants	Cyber Shield	SUNY Institute of Technology	50	20	70	\$0.00	Not-Awarded
18051	Mohawk Valley	ESD	ESD Grants	Alliance RAS Proposal	Alliance Paving Materials Inc	49	20	69	\$0.00	Not-Awarded
18200	Mohawk Valley	ESD	ESD Grants	Agriculture at Gems Along the Mohawk	Unlocked Legends dba Gems Along the Mohawk	59	10	69	\$0.00	Not-Awarded
18479	Mohawk Valley	ESD	ESD Grants	Project Orange	Goodrich Corporation	52	15	67	\$0.00	Not-Awarded
17497	Mohawk Valley	ESD	ESD Grants	Project Tunnel Vision Water Tunnel Stabilization Project	City of Rome	49	12.5	61.5	\$0.00	Not-Awarded
14509	Mohawk Valley	ESD	ESD Grants	Price Chopper Middleburgh NY	Carver Realty LLC	45	15	60	\$0.00	Not-Awarded
18201	Mohawk Valley	ESD	ESD Grants	Entrepreneurship Program	SUNY Institute of Technology	49	10	59	\$0.00	Not-Awarded
19195	Mohawk Valley	ESD	ESD Grants	Harbor Point Infrastructure Improvement Project	City of Utica New York	43	15	58	\$0.00	Not-Awarded
12570	MULTI: Central New York, Mohawk Valley	ESD	ESD Grants	National Technology Centers of Excellence	Quanterion Solutions Inc	40	15	55	\$0.00	Not-Awarded
18409	Mohawk Valley	ESD	ESD Grants	Herkimer Area Resource Center	Herkimer County Chapter NYSARC Inc	42	12.5	54.5	\$0.00	Not-Awarded
19761	Mohawk Valley	ESD	ESD Grants	Mill Farm Nursery Coop	Mill Farm LLC	39	15	54	\$0.00	Not-Awarded
15243	Mohawk Valley	ESD	ESD Grants	Train truck glide	Austin Mohawk and Company Inc	37	15	52	\$0.00	Not-Awarded
16483	Mohawk Valley	ESD	ESD Grants	Energy Efficient Windows	Gloversville Public Library	39	10	49	\$0.00	Not-Awarded
19493	Mohawk Valley	ESD	ESD Grants	Water System Improvements	Town of Verona	38	10	48	\$0.00	Not-Awarded
18706	Mohawk Valley	ESD	ESD Grants	SUNYIT Quad C	FSMC	40	7.5	47.5	\$0.00	Not-Awarded
18822	Mohawk Valley	ESD	ESD Grants	Marcy Nanocenter Time to Market Improvements	Mohawk Valley EDGE	39	7.5	46.5	\$0.00	Not-Awarded
15669	Mohawk Valley	ESD	ESD Grants	2012 Village Gateway Enhancement Project	Village of Mohawk	25	20	45	\$0.00	Not-Awarded
15756	Mohawk Valley	ESD	ESD Grants	Hummels Warehouse Addition	Hummels Office Equipment Co Inc	33	10	43	\$0.00	Not-Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommendedFunding	Awarded
15666	Mohawk Valley	ESD	ESD Grants	Electric Utility Preservation Project	Village of Mohawk	28	10	38	\$0.00	Not-Awarded
18168	Mohawk Valley	ESD	ESD Grants	Village of Mohawk Electric Utility Distribution Project	Village of Mohawk	28	10	38	\$0.00	Not-Awarded
16549	Mohawk Valley	ESD	ESD Grants	Project Herkimer Foods	Original Herkimer County Cheese	31	5	36	\$0.00	Not-Awarded
18203	Mohawk Valley	ESD	ESD Grants	STEM Pipeline	SUNY Institute of Technology	31	5	36	\$0.00	Not-Awarded
18649	Mohawk Valley	ESD	ESD Grants	HyGrow Greenhouse	HyGrow Industries Inc	26	10	36	\$0.00	Not-Awarded
19871	Mohawk Valley	ESD	ESD Grants	Regional Business Park	Fulton County Center for Regional Growth	27	7.5	34.5	\$0.00	Not-Awarded
15853	Mohawk Valley	ESD	ESD Grants	MH Stallman Amsterdam Expansion Phase II	MH Stallman Company	29	5	34	\$0.00	Not-Awarded
19120	Mohawk Valley	ESD	ESD Grants	Overdrive	OverdriveFEC Inc	29	2.5	31.5	\$0.00	Not-Awarded
16158	MULTI: Capital District, Mohawk Valley	ESD	ESD Grants	Exploration of Sacandaga Basin Mineral Wealth	Sacandaga Basin Mineral Wealth	23	5	28	\$0.00	Not-Awarded
18855	Mohawk Valley	ESD	ESD Grants	Ingalls sandwich shop	Nate Ingalls	22	5	27	\$0.00	Not-Awarded
15926	Mohawk Valley	ESD	ESD Grants	fiz foz Group of Industries	Dean Builders Group Inc	26	0	26	\$0.00	Not-Awarded
19084	Mohawk Valley	ESD	ESD Grants	Maranatha Family Center	The Wales Group	21	5	26	\$0.00	Not-Awarded
18478	Mohawk Valley	ESD	ESD Grants	Griffiss Parkway Phase 3	Griffiss Local Development Corporation	20	5	25	\$0.00	Not-Awarded
17927	Mohawk Valley	ESD	ESD Grants	287 Genesee St	GeneseeBrennan LLC	18	5	23	\$0.00	Not-Awarded
16209	Mohawk Valley	ESD	ESD Grants	Fortus Development	The Fortus Group	20	0	20	\$0.00	Not-Awarded
12945	Mohawk Valley	ESD	ESD IDBC	bad ponder2012	amsterdam industrial development agency	80	20	100	\$1,200,000.00	Awarded
16513	Mohawk Valley	ESD	ESD RTMGI	Digitization Project	National Baseball Hall of Fame and Museum	58	20	78	\$200,000.00	Awarded
19280	Mohawk Valley	ESD	ESD RTMGI	Rome Capitol Theatre Expansion and Restoration Project	Capitol Civic Center Inc	35	20	55	\$0.00	Not-Awarded
19298	Mohawk Valley	ESD	ESD RTMGI	Acoustic treatment	Foothills Performing Arts Center	34	20	54	\$0.00	Not-Awarded
15305	Mohawk Valley	ESD	ESD RTMGI	Doubleday Field Marketing	Village of Cooperstown	30	20	50	\$0.00	Not-Awarded
17542	MULTI: Central New York, Mohawk Valley	ESD	ESD RTMGI	MultiRegional County and Community Employment and Economic Growth Through Tourism Promotion	Route 20 Association of New York	26	15	41	\$0.00	Not-Awarded
19852	MULTI: Central New York, Mohawk Valley	ESD	ESD RTMGI	Womens Equality Trail Mix	Elizabeth Cady Stanton Hometown Association	20	20	40	\$0.00	Not-Awarded
19200	MULTI: Capital District, Central New York, Finger Lakes, Long Island, Mid-Hudson, Mohawk Valley, New York City, North Country, Southern Tier, Western New York	ESD	ESD RTMGI	KnowledgeNYorg	The Commission on Independent Colleges and Universities	21	15	36	\$0.00	Not-Awarded
15726	Mohawk Valley	ESD	ESD RTMGI	Destination Mohawk	Village of Mohawk	21	10	31	\$0.00	Not-Awarded
15622	Mohawk Valley	ESD	ESD RTMGI	CAC Park Revitalization	City of Sherrill	15	10	25	\$0.00	Not-Awarded
15075	Mohawk Valley	HCR	HCR CDBG PI	Canajoharie Wastewater Treatment Plant Capital Improvement Project	Village of Canajoharie	54	20	74	\$600,000.00	Awarded
15862	Mohawk Valley	HCR	HCR CDBG PI	Storm Sewer Separation Phase III	City of Amsterdam	41	20	61	\$600,000.00	Awarded
17291	Mohawk Valley	HCR	HCR CDBG PI	Bellinger Brook Culvert Rehabilitation	Village of Herkimer	35	20	55	\$225,000.00	Awarded
16364	Mohawk Valley	HCR	HCR CDBG SBA	Advanced Tool	Oneida County	46	20	66	\$100,000.00	Awarded
12945	Mohawk Valley	HCR	HCR CDBGED	Bad Ponder, LLC	City of Amsterdam	46	20	66	\$125,000.00	Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommendedFunding	Awarded
17579	Mohawk Valley	HCR	HCR CDBGED	Howe Caverns	Schoharie County	41	20	61	\$400,000.00	Awarded
18037	Mohawk Valley	HCR	HCR CDBGED	Pro Zone Lockers	City of Amsterdam	39	20	59	\$200,000.00	Awarded
16348	Mohawk Valley	HCR	HCR CDBGED	Harden Furniture	Oneida County	33	20	53	\$150,000.00	Awarded
16213	Mohawk Valley	HCR	HCR NYMS	Rome Main Street Project	City of Rome	59	20	79	\$250,000.00	Awarded
14000	Mohawk Valley	HCR	HCR NYMS	Center City Redevelopment Program	City of Little Falls	57	20	77	\$245,000.00	Awarded
14923	Mohawk Valley	HCR	HCR NYMS	Jefferson Village Green	Western Catskills Community Revitalization Council, Inc.	57	20	77	\$172,500.00	Awarded
16012	Mohawk Valley	HCR	HCR NYMS	Waterville Downtown Revitalization Program	Village of Waterville	52	20	72	\$250,000.00	Awarded
14747	Mohawk Valley	HCR	HCR NYMS	Oneonta Business District Revitalization Program	City of Oneonta	46	20	66	\$0.00	Not-Awarded
19844	Mohawk Valley	HCR	HCR NYMS	West Utica Main Street Project	Rebuild Mohawk Valley Inc	41	15	56	\$0.00	Not-Awarded
15727	Mohawk Valley	HCR	HCR NYMS	Small Business Improvement Program	Village of Frankfort	34	20	54	\$0.00	Not-Awarded
15669	Mohawk Valley	HCR	HCR NYMS	2012 Village Gateway Enhancement Project	Village of Mohawk	31	20	51	\$0.00	Not-Awarded
17528	Mohawk Valley	HCR	HCR NYMS	East Utica Main Street Project	Municipal Housing Authority of the City of Utica New York	37	12.5	49.5	\$0.00	Not-Awarded
19664	Mohawk Valley	HCR	HCR NYMS	Old Forge Main Street	Central Adirondack Partnership	27	20	47	\$0.00	Not-Awarded
18032	Mohawk Valley	HCR	HCR NYMS	Downtown Revitalization I	Amsterdam Industrial Development Agency	17	20	37	\$0.00	Not-Awarded
14923	Mohawk Valley	HCR	HCR RARP	Jefferson Village Green	Western Catskills Community Revitalization Council, Inc.	50	20	70	\$70,625.00	Awarded
16794	Mohawk Valley	HCR	HCR RARP	Old Forge Affordable Housing	Central Adirondack Partnership	25	10	35	\$0.00	Not-Awarded
18249	Mohawk Valley	HCR	HCR UI	Utica Urban Initiatives Program	Rebuild Mohawk Valley Inc	30	5	35	\$0.00	Not-Awarded
17493	Mohawk Valley	HCR	HCR UI	Destination James Rome Main Street Alliance	Rome Main Street Alliance	13	20	33	\$0.00	Not-Awarded
16409	Mohawk Valley	NYSERDA	NYSERDA REDGHG	Biomass District Heating Project	Old Forge Properties Inc.	59.41	20	79.41	\$1,000,000.00	Awarded
19209	Mohawk Valley	NYSERDA	NYSERDA REDGHG	Improvements	Gloversville/Johnstown WWTF	48.09	20	68.09	\$0.00	Not-Awarded
16857	Mohawk Valley	NYSERDA	NYSERDA REDGHG	New York Biomass Research and Development	SUNY Cobleskill	40.91	20	60.91	\$0.00	Not-Awarded
17148	Mohawk Valley	Parks	Parks HASA	Town of German Flatts Park	Town of German Flatts	55.5	10	65.5	\$0.00	Not-Awarded
15568	Mohawk Valley	Parks	Parks HASA	Roof Restoration and Stabilization	Village of Oriskany Falls	49	10	59	\$0.00	Not-Awarded
15500	Mohawk Valley	Parks	Parks HASA	Exterior Masonry Repairs	Grace Church	52.5	0	52.5	\$0.00	Not-Awarded
15568	Mohawk Valley	Parks	Parks HPA	Oriskany Falls Community Center Building Stabilization Project	Village of Oriskany Falls	55.5	10	65.5	\$69,160.00	Awarded

Application Number	Regions	Agency	ProgramName	Project_Name	Business_Name	AgencyScore	REDCScore	TotalScore	AgencyRecommendedFunding	Awarded
19020	Mohawk Valley	Parks	Parks HPA	Structural Restoration of WPA and Olmstead Historic Bridge, Wall and Stairs	City of Utica	36	20	56	\$0.00	Not-Awarded
19778	Mohawk Valley	Parks	Parks HPA	Design Documents	Gloversville Public Library	33	10	43	\$0.00	Not-Awarded
16483	Mohawk Valley	Parks	Parks HPA	Energy Efficient Windows	Gloversville Public Library	31	10	41	\$0.00	Not-Awarded
17148	Mohawk Valley	Parks	Parks PA	Town of German Flatts Park	Town of German Flatts	49	10	59	\$0.00	Not-Awarded
15571	Mohawk Valley	Parks	Parks PA	Wilber Park Pool House Renovation	City of Oneonta	43.5	15	58.5	\$0.00	Not-Awarded
19083	Mohawk Valley	Parks	Parks PA	Forever Generations Nick Iorio Park Project Phase 2	Village of Cobleskill Recreation Commission	46.5	10	56.5	\$0.00	Not-Awarded
19020	Mohawk Valley	Parks	Parks PA	Structural Restoration of WPA and Olmstead Historic Stone Bridge, Walls and Stairs	City of Utica	32	20	52	\$0.00	Not-Awarded
17439	Mohawk Valley	Parks	Parks PA	Construction of Waterfront Access with ADA Bridge	Village of Northville	31	20	51	\$75,000.00	Awarded
15622	Mohawk Valley	Parks	Parks PA	CAC Park Revitalization	City of Sherrill	40.5	10	50.5	\$0.00	Not-Awarded
18903	Mohawk Valley	Parks	Parks PA	Val Bialas TBar Improvements	City of Utica	30.5	10	40.5	\$0.00	Not-Awarded
17716	Mohawk Valley	Parks	Parks PA	New Hartford Recreation Center Outdoor Skating Facility	Town of New Hatrford	29.5	7.5	37	\$0.00	Not-Awarded
14668	Mohawk Valley	Parks	Parks PA	South Side Park Project	City of Amsterdam	36.5	0	36.5	\$0.00	Not-Awarded
15624	Mohawk Valley	Parks	Parks PA	Tory Creek Park Enhancement Project	Village of Mohawk	36	0	36	\$0.00	Not-Awarded